

UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA

A.C.,

Plaintiff,

v.

CITY OF SANTA CLARA, et al.,

Defendants.

Case No. 13-cv-03276-HSG

**DISCOVERY ORDER**

Re: Dkt. No. 66

On March 17, 2015, the parties filed a joint discovery letter regarding a dispute over the discoverability of a memorandum authored by Officer Nathan Crescini (“Crescini”) in connection with an earlier case. Dkt. No. 66. Defendant City of Santa Clara (“City”) has declined to produce the requested memorandum, contending that it is protected by the attorney–client privilege and the work–product doctrine. *Id.* 3-4. On March 20, 2015, the Court ordered Defendants to submit the memorandum in question, and transcripts of two depositions of Crescini, for *in camera* review. Dkt. No. 67. On March 24, 2015, Defendant submitted the requested materials, along with another memo authored by Crescini about the same incident that already has been produced in this case. On April 20, 2015, after reviewing the materials *in camera*, the Court ordered the parties to submit supplemental materials relevant to the privilege analysis. Dkt. No. 70. The parties submitted these supplemental materials on April 24, 2015.

Having reviewed the joint discovery letter submitted by the parties, the supplemental briefing, and the materials submitted for *in camera* review, the Court finds that the memorandum is shielded from production by the attorney–client privilege and is appropriately withheld on that ground.

**Background**

The materials submitted *in camera* establish that after receiving an administrative claim

1 from James Weaver in March of 2013, Assistant City Attorney Julia Hill forwarded the claim to  
2 City's claims handling agent Rodger Hayton pursuant to City's procedure for investigating claims  
3 anticipated to result in litigation. Under that procedure, the involved City department provides a  
4 response to an "Internal Claim Memorandum" ("ICM") requesting information pertinent to City's  
5 evaluation of the anticipated litigation. Pursuant to this procedure, Mr. Hayton sent an ICM to the  
6 Santa Clara Police Department ("Department") under the heading "Privileged and Confidential in  
7 Anticipation of Litigation." The ICM, sent to the attention of Department's chief, asked  
8 Department to provide certain information pertinent to the claim, and directed Department to send  
9 the response directly to the City Attorney's Office with a copy to the claims handling company.

10 Ms. Hill was directly involved in the investigation of the Weaver claim, and had telephone  
11 and email communications with Department staff regarding the investigation. A Department  
12 officer then provided a response to the ICM to Ms. Hill under the heading "Internal Claim  
13 Memorandum Response -- Privileged and Confidential In Anticipation of Litigation." The  
14 Crescini memorandum was included in the ICM response.

### 15 Discussion

16 The attorney-client privilege protects confidential communications between attorneys and  
17 clients made for the purpose of giving legal advice. *Upjohn Co. v. United States*, 449 U.S. 383,  
18 389 (1981). In the institutional context, the attorney-client privilege extends to communications  
19 made by employees to counsel "at the direction of . . . superiors in order to secure legal advice  
20 from counsel." *Upjohn*, 449 U.S. at 394; *United States v. Jicarilla Apache Nation*, 131 S. Ct.  
21 2313, 2321 (2011) ("Unless applicable law provides otherwise, the Government may invoke the  
22 attorney-client privilege in civil litigation to protect confidential communications between  
23 Government officials and Government attorneys.") (internal quotation marks and citations  
24 omitted); Restatement (Third) of Law Governing Lawyers § 74 (2000), Comment b, p. 574  
25 ("[G]overnmental agencies and employees enjoy the same privilege as nongovernmental  
26 counterparts"). This includes communications made by a lower or midlevel employee at the  
27 direction of a supervisor, where the supervisor is acting at the direction of counsel and collecting  
28 information necessary to the provision of legal advice. *Upjohn*, 449 U.S. at 391.


1 Here, the record establishes that the Crescini memorandum was prepared and provided to  
2 Assistant City Attorney Hill for the purpose of enabling her to evaluate anticipated litigation and  
3 advise City accordingly. As a result, the Court finds that the memorandum is protected from  
4 disclosure under the attorney-client privilege.

5 Plaintiff also argues that City waived any privilege attaching to the memorandum because  
6 Crescini “relied upon the document to prepare for and during his deposition.” *See* Dkt. No. 66 at  
7 3. Under Federal Rule of Evidence 612, when a witness uses a document to refresh his memory  
8 *while testifying*, the adverse party is entitled to inspect the document, introduce relevant portions  
9 into evidence, and cross examine the witness about the document. Fed. R. Evid. 612. Where a  
10 party only uses the document to refresh his memory before testifying, however, the Court has  
11 discretion to order its production “if justice so requires.” *Id.* Having reviewed Crescini’s  
12 deposition transcripts from both the Weaver matter and this case, the Court finds no evidence that  
13 Crescini used the document while testifying, and further finds that justice does not require  
14 disclosure of this privileged document under these circumstances under Rule 612.

15 For these reasons, Plaintiff’s motion to compel is DENIED.<sup>1</sup>

16 **IT IS SO ORDERED.**

17 Dated: June 24, 2015

18   
19 HAYWOOD S. GILLIAM, JR.  
20 United States District Judge

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28 <sup>1</sup> Because the attorney-client privilege issue is dispositive, the Court does not reach the attorney  
work product issue.